

# Multiway Cut Problem

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Given undirected graph  $G = (V, E)$

Edge weights  $w: E \rightarrow \mathcal{R}^+$

Terminals:  $T = \{t_1, t_2, \dots, t_k\} \subseteq V$

**Goal:** find minimum weight set of edges  $E'$  such  
removing  $E'$  separates all terminals

That is, no connected component of  $G(V, E - E')$  has  
two terminals from  $T$

# Facts

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$k = 2$ , standard s-t cut problem, polynomial time solvable

Multiway Cut is NP-hard and also APX-hard even for  $k = 3$

Multiway Cut can be solved exactly for fixed  $k$  in *planar graphs*

# Isolating Cuts

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For  $t_i \in T$ ,  $E' \subset E$  is an isolating cut if removing edges in  $E'$  separates  $t_i$  from all other terminals

Minimum weight isolating cut can be computed in polynomial time (How?)

Let  $C_1, C_2, \dots, C_k$  be min weight isolating cuts for  $t_1, t_2, \dots, t_k$

# Isolating Cut Heuristic

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Let  $C_1, C_2, \dots, C_k$  be min weight isolating cuts for  $t_1, t_2, \dots, t_k$

Assume wlog that  $w(C_1) \leq w(C_2) \leq \dots \leq w(C_k)$

Output  $C = C_1 \cup C_2 \cup \dots \cup C_{k-1}$

**Claim:**  $C$  is a feasible multiway cut

# Analysis

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Theorem:  $w(C) \leq 2(1-1/k) \text{ OPT}$

Consider some optimum cut  $A$

Let  $G[V_i]$  be the connected component in  $G(V, E-A)$  that contains terminal  $t_i$

$A_i = \delta(V_i)$  : edges with exactly one end point in  $V_i$

# Analysis

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Let  $G[V_i]$  be the connected component in  $G(V, E-A)$  that contains terminal  $t_i$

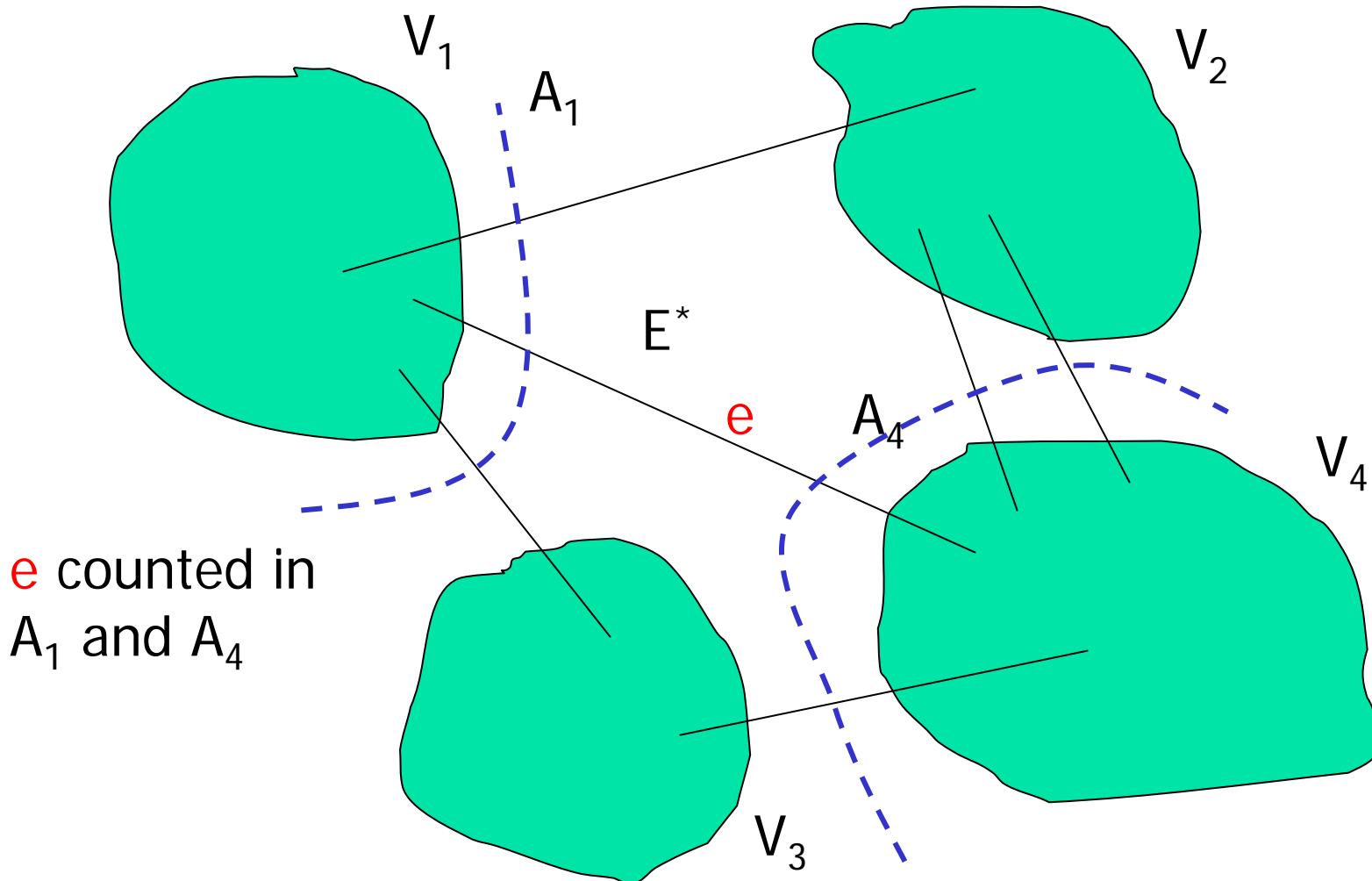
$A_i = \delta(V_i)$  : edges with exactly one end point in  $V_i$

Claim:  $2w(A) = \sum_{i=1}^k w(A_i)$

Each edge in  $A$  is counted twice

# $A$ and $A_1, A_2, \dots, A_k$

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# Analysis

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Claim:  $2OPT = \sum_{i=1}^k w(A_i)$

$A_i$  is an isolating cut for  $t_i$  therefore  
for  $1 \leq i \leq k$

$w(A_i) \geq w(C_i)$  since  $C_i$  was min-wt isolating cut for  $t_i$

implies

$$\sum_{i=1}^k w(A_i) \geq \sum_{i=1}^k w(C_i)$$



# Analysis

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$$w(C) = w(C_1) + \dots + w(C_{k-1})$$

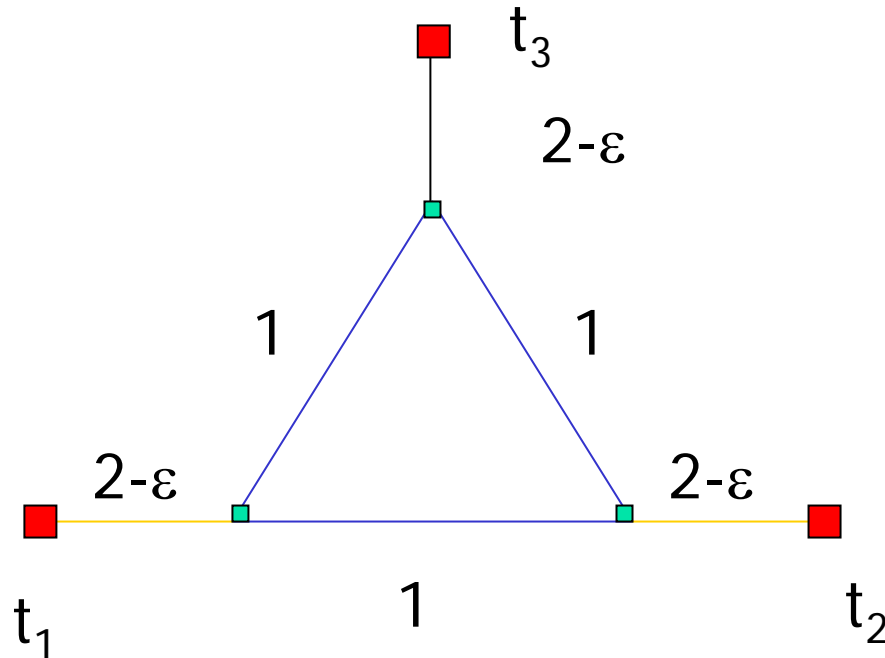
$\leq (1-1/k) (w(C_1) + \dots + w(C_k))$  (since  $C_k$  is the heaviest cut)

$$\leq (1-1/k) (w(A_1) + \dots + w(A_k))$$

$$\leq 2(1-1/k) w(A)$$

# A Tight Example

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Blue: opt cut of value 3

Yellow: algorithm's cut of value  $4-2\varepsilon$

Example can be generalized to large  $k$  to reach ratio of  $(2-2/k)$

# A Greedy Splitting Algorithm

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Start with  $G$

Split into two components such that each contains a terminal

Split *one* of the two components such that each of the three components has a terminal

Split *one* of the three components such that each of the four components has a terminal

... till  $k$  components each with terminal

*At each step choose a cheapest cut among components*

# Greedy Splitting Algorithm

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**Theorem:** Greedy splitting also a  $2-2/k$  approximation algorithm for multiway cut

Proof: Exercise

# The k-Cut Problem

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Given undirected  $G = (V, E)$

$w: E \rightarrow \mathcal{R}^+$

integer  $k$

**Goal:** find minimum weight set of edges to remove such that  $G$  is partitioned into  $k$  connected components

# Facts

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$k=2$  is the global mincut problem, can be solved in polynomial time (near linear time using randomization)

Can be solved in  $O(n^{k^2})$  time – hence polynomial time solvable for fixed  $k$

NP-hard for arbitrary  $k$

# A Greedy Splitting Algorithm

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Start with  $G$

Split into two components

Split into three components by splitting one of the two components

...

Choose cheapest split at each stage

# Greedy Splitting

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**Theorem:** Greedy splitting is a  $2-2/k$  approximation

Proof is complicated

We do an alternate proof using Gomory-Hu trees



# Cut Structure of Undirected Graphs

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Given undirected graph  $G = (V, E)$

$w: E \rightarrow \mathcal{R}^+$

Let  $mc(ab)$  denote weight of min  $a$ - $b$  cut in  $G$

There are  $n(n-1)/2$  pairs of vertices so potentially  
 $n(n-1)/2$  different min-cut values

However ...

# Cut Structure of Undirected Graphs

---

There are  $n(n-1)/2$  pairs of vertices so potentially  $n(n-1)/2$  different min-cut values

However only  $n-1$  distinct cut-values

Moreover magical Gomory-Hu tree!

# Gomory-Hu tree for $G$

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$G = (V, E)$  with edge weights  $w$

Gomory-Hu tree  $T = (V, E_T)$

$u: E_T \rightarrow \mathcal{R}^+$

same vertex set as  $G$

$u$ : weights on edges of  $T$

For each pair  $(a, b)$  of vertices of  $G$ , their min-cut value  $mc(ab)$  in  $G$  is *equal to* min cut value in  $T$ !

# Gomory-Hu tree for $G$

---

For each pair  $(a, b)$  of vertices of  $G$ , their min-cut value  $mc(ab)$  in  $G$  is *equal to* min cut value in  $T$ !

Min-cut in  $T$  is min-weight edge in *unique* path connecting  $a$  and  $b$  in  $T$

In particular for an edge  $ab$  in  $E_T$  we have  
 $u(ab) = mc(ab)$

# Gomory-Hu Tree for $G$

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Can be computed using  $O(n)$   $s$ - $t$  cut computations

With each edge  $ab$  in  $E_T$  we can also associate a min  $a$ - $b$  cut  $C_{ab}$  of value  $u(ab)$

Removing edges in  $C_{ab}$  disconnects  $a$  from  $b$

# k-Cut alg using Gomory-Hu trees

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Run Greedy Splitting on  $T$  instead of  $G$

Equivalent to picking the  $k-1$  lightest edges in  $T$

Let  $e_1, e_2, \dots, e_{k-1}$  be the chosen edges (from  $T$ )

Output  $C = C_{e_1} \cup C_{e_2} \cup \dots \cup C_{e_{k-1}}$

# Analysis

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**Claim:** Removing  $C$  results in  $k$  components

Easy exercise

# Analysis

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Theorem:  $w(C) \leq 2(1-1/k) \text{ OPT}$

Let  $A$  be an optimum cut and let  $V_1, V_2, \dots, V_k$  be the connected components

$$A_i = \delta(V_i)$$

As before assume wlog  $w(A_1) \leq w(A_2) \dots \leq w(A_k)$

and we have  $w(A_1) + \dots + w(A_k) = 2w(A) = 2\text{OPT}$



# Analysis

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Lemma:  $\sum_{i=1}^{k-1} u(e_i) \leq \sum_{i=1}^{k-1} w(A_i)$

Assuming lemma we have

$$\begin{aligned} w(C) &= u(e_1) + \dots + u(e_{k-1}) \\ &\leq (1-1/k)(w(A_1) + \dots + w(A_k)) \\ &\leq 2(1-1/k) \text{ OPT} \end{aligned}$$

# Proof of Lemma

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We identify distinct edges  $f_1, f_2, \dots, f_{k-1}$  of  $T$  s.t  $w(A_i) \geq u(f_i)$  for  $1 \leq i \leq k-1$

Since algorithm picks lightest  $k-1$  edges we have the lemma

Note that  $f_1, \dots, f_{k-1}$  are not necessarily related to  $e_1, \dots, e_{k-1}$

# Proof of Lemma

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We identify edges  $f_1, f_2, \dots, f_{k-1}$  of  $T$  s.t  
 $w(A_i) \geq u(f_i)$  for  $1 \leq i \leq k-1$

Obtain tree  $T'$  from  $T$  as follows:

- shrink each  $V_i$  to a single vertex
- throw out parallel edges between vertices in  $T'$

$T'$  is connected since  $T$  is connected

# Proof of Lemma

---

Let  $t_1, t_2, \dots, t_k$  be vertices of  $T'$  with  $t_i$  corresponding to  $V_i$

Root  $T'$  at  $t_k$  (recall  $A_k$  was the heaviest cut)

Orient edges in  $T'$  towards the root

Let  $f_i$  be the unique edge directed from  $t_i$  towards the root in the orientation

# Proof of Lemma

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Root  $T'$  at  $t_k$  (recall  $A_k$  was the heaviest cut)

Orient edges in  $T'$  towards the root

Let  $f_i$  be the unique edge out of  $t_i$  in the orientation

Remark:  $f_i$  is an edge of  $T$

Let  $f_i = ab$  where  $a \in V_i$ ,  $b \notin V_i$

# Proof of Lemma

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Root  $T'$  at  $t_k$  (recall  $A_k$  was the heaviest cut)

Orient edges in  $T'$  towards the root

Let  $f_i$  be the unique edge out of  $t_i$  in the orientation

Let  $f_i = ab$  where  $a \in V_i$ ,  $b \notin V_i$

From Gomory-Hu tree property  $mc(ab) = u(f_i)$

Also  $A_i$  is a cut that separates  $a$  from  $b$  hence  
 $w(A_i) \geq mc(ab) = u(f_i)$

# Tight Example

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Same as that for multiway cut

# The Steiner k-Cut problem

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Generalizes Multiway Cut and k-Cut

Given  $G = (V, E)$ ,  $w: E \rightarrow \mathcal{R}^+$

$T \subseteq V$ : terminals

integer  $k$ ,  $k \leq |T|$

**Goal:** find min-wt set of edges to remove such that  $G$  is partitioned into  $k$  components each of which contains at least one terminal from  $T$



# The Steiner k-Cut problem

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integer  $k$ ,  $k \leq |T|$

**Goal:** find min-wt set of edges to remove such that  $G$  is partitioned into  $k$  components each of which contains at least one terminal from  $T$

$k = |T|$  gives multiway cut problem

$T = V$  gives k-Cut problem

# Greedy Splitting/Gomory-Hu tree algs

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Greedy splitting naturally defined for the problem

Difficult to analyze directly but yields  $2-2/k$  approximation

Gomory-Hu tree based algorithm

Pick  $e_1, e_2, \dots, e_{k-1}$  from  $T$  *iteratively* such that each new edge creates a new component with a terminal. Among possible edges choose one of min weight

**Theorem:**  $2-2/k$  approx for Steiner  $k$ -Cut

# More general problem

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Now instead of edges we allow arbitrary submodular functions

Given  $V$  and a function  $f : 2^V \rightarrow \mathcal{R}^+$

$T \subseteq V$

integer  $k \leq |T|$

**Goal:** partition  $V$  into  $V_1, V_2, \dots, V_k$  such that  $V_i \cap T \neq \emptyset$  for  $1 \leq i \leq k$  so as to minimize  $\sum_i f(V_i)$

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**Theorem:** If  $f$  is *submodular* and *symmetric*

Greedy splitting yields a  $2 - 2/k$  approximation

(also for some other cases of  $f$ )

# More general problem

---

**Theorem:** If  $f$  is *submodular* and *symmetric*  
Greedy splitting yields a  $2-2/k$  approximation  
(also for some other cases of  $f$ )

If  $G$  is a graph or a hypergraph then the cut  
function  $f(V_i) = w(\delta(V_i))$  is a symmetric  
submodular function

Hence Steiner  $k$ -cut is a special case

# Why does it work?

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Direct proof using submodularity [Zhao-Nagamochi-Ibaraki'05]

Another proof:

If  $f$  is submodular and symmetric then it also admits a Gomory-Hu tree!

In other words, Gomory-Hu tree exists because cut-functions in graphs are submodular and symmetric

# Greedy Splitting for Steiner k-Cut

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We adapt proof of [Zhao-Nagamochi-Ibaraki'05] for submodular splitting problems and simplify it for the Steiner k-Cut problem

Some notation

Greedy generations vertex partitions  $\mathcal{P}_1, \mathcal{P}_2, \dots, \mathcal{P}_{k-1}$  starting with  $\mathcal{P}_0 = \{V\}$

$\mathcal{P}_i$  is a refinement of  $\mathcal{P}_{i-1}$  with one of the components of  $\mathcal{P}_{i-1}$  split into two components

# Greedy Splitting for Steiner k-Cut

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Some notation

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We are interested only in partitions that are *valid*: that is each component contains a terminal



# Analysis of Greedy Splitting

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Let  $w(\mathcal{P}_i)$  the cost of edges cut in the partition  $\mathcal{P}_i$

We prove the following by induction on  $i$

Lemma:

For *any* valid partition  $\mathcal{P} = \{V_1, V_2, \dots, V_i\}$

$$w(\mathcal{P}_{i-1}) \leq w(\delta(V_1)) + w(\delta(V_2)) + \dots + w(\delta(V_{i-1}))$$

(note that rhs doesn't depend on  $V_i$ )

# Analysis of Greedy Splitting

---

For *any* valid partition  $\mathcal{P} = \{V_1, V_2, \dots, V_i\}$

$$w(\mathcal{P}_{i-1}) \leq w(\delta(V_1)) + w(\delta(V_2)) + \dots + w(\delta(V_{i-1}))$$

Suppose above is true: consider an optimum solution for problem  $\{A_1, A_2, \dots, A_k\}$  where the ordering is chosen s.t

$$w(\delta(A_k)) \geq w(\delta(A_i)) \text{ for } 1 \leq i \leq k-1$$

# Analysis of Greedy Splitting

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Suppose above is true: consider an optimum solution for problem  $\{A_1, A_2, \dots, A_k\}$  where the ordering is chosen s.t

$$w(\delta(A_k)) \geq w(\delta(A_i)) \text{ for } 1 \leq i \leq k-1$$

From the lemma with  $i = k-1$ ,

$$\begin{aligned} w(\mathcal{P}_{k-1}) &\leq (1-1/k) (w(\delta(A_1)) + \dots + w(\delta(A_k))) \\ &\leq 2(1-1/k) \text{ OPT} \end{aligned}$$

# Proof of the lemma

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Base case  $i = 1$  is easy to check

Assume hypothesis holds for  $i-1$

Let  $\mathcal{P} = (V_1, V_2, \dots, V_i)$  be an arbitrary *valid* partition into  $i$  components

Consider  $\mathcal{P}_{i-2}$ :

There must be some component  $W \in \mathcal{P}_{i-2}$  and two indices  $h < l$  with  $W \cap V_h$  and  $W \cap V_l$  both containing a terminal (Why?)

# Proof of the lemma

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Therefore splitting  $W$  into  $W \cap V_h$  and  $W - V_h$  would be considered in the Greedy Splitting algorithm when refining  $\mathcal{P}_{i-2}$  into  $\mathcal{P}_{i-1}$

Since Greedy chose a cheapest split

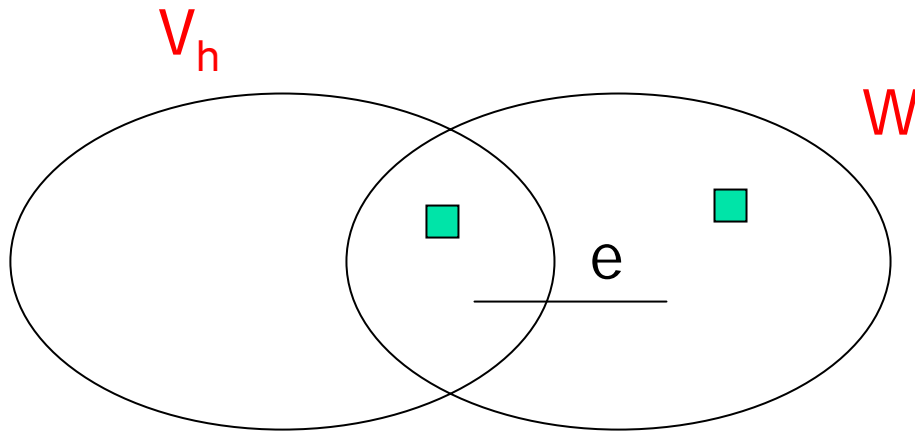
$w(\mathcal{P}_{i-1}) - w(\mathcal{P}_{i-2}) \leq$  increase in cost if Greedy chose to split  $W$  as above

We claim that splitting  $W$  as above increases cost by at most  $w(\delta(V_h))$

# Proof of the lemma

---

We claim that splitting  $W$  as above increases cost by at most  $w(\delta(V_h))$



Any new edge  $e$  induced by the split of  $W$  is from  $(V_h \cap W)$  to  $(W - V_h)$  so must be in  $\delta(V_h)$

# Proof of lemma

---

Therefore  $w(\mathcal{P}_{i-1}) - w(\mathcal{P}_{i-2}) \leq w(\delta(V_h))$

Apply induction hypothesis on  $i-1$  to the partition

$$\mathcal{P}' = \{V_1, \dots, V_{h-1}, V_{h+1}, \dots, V_{i-1}, V_h \cup V_i\}$$

(we removed  $V_h$  and merged it with  $V_i$ , use the fact that  $h < i \leq i$ )

# Proof of lemma

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Therefore  $w(\mathcal{P}_{i-1}) - w(\mathcal{P}_{i-2}) \leq w(\delta(V_h))$

Apply induction hypothesis on  $i-1$  to the partition

$$\mathcal{P}' = \{V_1, \dots, V_{h-1}, V_{h+1}, \dots, V_{i-1}, V_h \cup V_i\}$$

$w(\mathcal{P}_{i-2}) \leq (\sum_{j=1}^{i-1} w(\delta(V_j))) - w(\delta(V_h))$  (since  $V_h$  is no longer there in  $\mathcal{P}'$ )

Combining above two inequalities proves the hypothesis for  $i$



# Exercises/Reading

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Read about Gomory-Hu tree construction in Vazirani's book, Chapter 4 exercise

Prove that the Greedy Split algorithm is optimal when  $G$  is a tree

Try to extend the analysis of the Gomory-Hu tree based algorithm for  $k$ -Cut to the algorithm for the Steiner  $k$ -Cut problem