

# A note on the hardness of approximating the K-WAY HYPERGRAPH CUT problem

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## Abstract

We consider the approximability of K-WAY HYPERGRAPH CUT problem: the input is an edge-weighted hypergraph  $G = (V, \mathcal{E})$  and an integer  $k$  and the goal is to remove a min-weight subset of the edges such that the residual graph has at least  $k$  connected components. When  $G$  is a graph this problem admits a  $2(1 - 1/k)$ -approximation [8], however, there has been no non-trivial approximation ratio for general hypergraphs. In this note we show, via a very simple reduction, that an  $\alpha$ -approximation for K-WAY HYPERGRAPH CUT implies an  $O(\alpha^2)$ -approximation for the DENSEST K-SUBGRAPH problem. This gives conditional hardness of approximation for K-WAY HYPERGRAPH CUT since the best known approximation ratio for DENSEST K-SUBGRAPH is  $O(n^{1/4+\epsilon})$  [1] and resolving its approximability is a major open problem. As a corollary we obtain conditional hardness for  $k$ -WAY SUBMODULAR MULTIWAY PARTITION problem which generalizes K-WAY HYPERGRAPH CUT. These results are in contrast to  $2(1 - 1/k)$ -approximation for closely related problems where the goal is to separate  $k$  given terminals [3, 4].

## 1 Introduction

We consider the following problem.

**K-WAY HYPERGRAPH CUT:** Let  $G = (V, \mathcal{E})$  be hypergraph with edge weights given by  $w : \mathcal{E} \rightarrow \mathbb{R}_+$ . Given an integer  $k$ , find a min-weight subset of edges  $\mathcal{E}' \subseteq \mathcal{E}$  such that  $G - \mathcal{E}'$  has at least  $k$  connected components. Equivalently find a partition of  $V$  into  $k$  non-empty sets  $V_1, V_2, \dots, V_k$  such that the weight of the hyperedges that cross the partition<sup>1</sup> is minimized.

K-WAY HYPERGRAPH CUT is known as the K-CUT problem when the input is a graph and is one of the well-studied variants of graph partitioning problems. K-WAY HYPERGRAPH CUT is a special case of a more general submodular partitioning problem defined below.

**$k$ -WAY SUBMODULAR PARTITION (K-WAY SUB-MP):** Let  $f : 2^V \rightarrow \mathbb{R}_+$  be a non-negative submodular set function<sup>2</sup> over a finite ground set  $V$ . The  $k$ -way submodular partition problem is to find a partition  $V_1, \dots, V_k$  of  $V$  to minimize  $\sum_{i=1}^k f(V_i)$  such that for  $1 \leq i \leq k$ ,  $V_i \neq \emptyset$ . An important special case is when  $f$  is symmetric and we refer to it as K-WAY SYM-SUB-MP.

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<sup>1</sup>A hyperedge  $e$  crosses a partition of the vertex set if  $e$  properly intersects at least two parts of the partition.

<sup>2</sup>A set function  $f : 2^V \rightarrow \mathbb{R}$  is submodular iff  $f(A) + f(B) \geq f(A \cap B) + f(A \cup B)$  for all  $A, B \subseteq V$ . Moreover,  $f$  is symmetric if  $f(A) = f(V - A)$  for all  $A \subseteq V$ .

We refer the reader to [3] to see why  $k$ -WAY HYPERGRAPH CUT is a special case of  $k$ -WAY SUB-MP. The  $k$ -CUT problem is not only a special case of  $k$ -WAY HYPERGRAPH CUT but it is also a special case of  $k$ -WAY SYM-SUB-MP. When  $k$  is part of the input all the problems we discussed so far are NP-Hard, and also APX-Hard to approximate.  $k$ -WAY SYM-SUB-MP admits a  $2(1 - 1/k)$ -approximation [7, 11] and hence also  $k$ -CUT [8]. For  $k$ -WAY HYPERGRAPH CUT a  $2\Delta(1 - 1/k)$ -approximation easily follows from the  $2(1 - 1/k)$ -approximation for  $k$ -CUT; here  $\Delta$  is the rank of the hypergraph (the maximum size of any hyperedge). On the other hand, in the general case, the known approximation algorithms for  $k$ -WAY HYPERGRAPH CUT and  $k$ -WAY SUB-MP provide an approximation ratio of  $\Omega(k)$  [11]. It was an open problem to obtain an improved understanding of their approximability.

In this note we show that a good approximation for  $k$ -WAY HYPERGRAPH CUT would imply a good approximation for the DENSEST  $k$ -SUBGRAPH problem. Resolving the approximability of DENSEST  $k$ -SUBGRAPH is a well-known open problem. We first describe DENSEST  $k$ -SUBGRAPH formally.

**DENSEST  $k$ -SUBGRAPH:** Given a graph  $G = (V, E)$  and integer  $k$ , find a subset  $S \subseteq V$  of  $k$  nodes to maximize the edges in the induced graph  $G[S]$ .

The current best approximation for DENSEST  $k$ -SUBGRAPH is  $O(n^{1/4+\epsilon})$  [1]; note that an  $O(k)$ -approximation is trivial. On the other hand we can rule out a PTAS for DENSEST  $k$ -SUBGRAPH only under the assumption that  $\text{NP} \not\subseteq \cap_{\epsilon>0} \text{BPTIME}(2^{n^\epsilon})$  [6]. Moreover [2] shows polynomial-factor integrality gaps for several strong SDP relaxations. Resolving the wide gap in the approximability of DENSEST  $k$ -SUBGRAPH is a major open problem. There have been several problems that have been shown to be conditionally hard by giving a reduction from DENSEST  $k$ -SUBGRAPH which has further cemented the importance of DENSEST  $k$ -SUBGRAPH as a canonical problem.

To formally state the implication of our reduction it is more convenient to relate the approximation ratio to the parameter  $s$  which is the sum of the number of nodes and edges. The tight instances for the algorithm of [1] for DENSEST  $k$ -SUBGRAPH have  $|E| = \Theta(|V|^{3/2})$ . For these instances, it is not known how to obtain an approximation ratio better than  $O(|V|^{1/4}) = O(s^{1/6})$ .

**Theorem 1.1** *A polynomial-time  $\alpha(s)$  approximation algorithm for  $k$ -WAY HYPERGRAPH CUT implies a polynomial-time  $O(\alpha^2(s + 1))$ -approximation algorithm for DENSEST  $k$ -SUBGRAPH.*

When  $k$  is a fixed constant one can reduce  $k$ -WAY HYPERGRAPH CUT and  $k$ -WAY SUB-MP to solving  $O(n^{k-1})$  instances of the “terminal” version of these problems which have a  $2(1 - 1/k)$  approximation. We refer the reader to [3, 4] for more details on these related problems.

## 2 Proof of Theorem 1.1

Let  $(G = (V, E), \ell)$  be an instance of DENSEST  $k$ -SUBGRAPH, where to avoid confusion, we use  $\ell$  to denote the number of nodes in the subgraph we wish to find. We construct a hypergraph  $H = (A, \mathcal{F})$  as follows. For each edge  $e \in E$  we create a node  $a_e$  and add it to  $U$ . Moreover we add a new special node  $r$  to  $U$ . Thus  $A = \{r\} \cup \{a_e \mid e \in E\}$ . For each node  $v \in V$  we add a hyperedge  $f_v$  to  $\mathcal{F}$  where  $f_v = \{r\} \cup \{a_e \mid e \in \delta_G(v)\}$  where  $\delta_G(v)$  is the set of edges in  $E$  that are incident to  $v$  in  $G$ . Thus  $H$  is basically the hypergraph obtained from  $G$  by flipping the role of nodes and edges and then adding the extra node  $r$  to each hyperedge. We also observe that  $|U| + |\mathcal{F}| = 1 + |V| + |E| = s + 1$ . The basic and simple claim about  $G$  and  $H$  is the following.

**Claim 2.1** For any  $1 \leq \ell \leq |V|$ , if there is set  $S \subseteq V$  with  $|S| = \ell$  and  $|E_G(S)| = L - 1$  then the  $k$ -WAY HYPERGRAPH CUT instance on  $H$  with  $k = L$  has a cut of value at most  $\ell$ . Moreover, given any  $F \subseteq \mathcal{F}$  with  $|F| = \ell'$  such that  $H - F$  has  $L'$  connected components then there is a subset  $S' \subseteq V$  such that  $|S'| = |F|$  and  $|E_G(S')| = L' - 1$ .

**Proof:** Consider a set  $F \subseteq \mathcal{F}$  of hyperedges in  $H$ . Suppose we remove them from  $H$ . Let  $V_F = \{v \in V \mid f_v \in F\}$  be the nodes in  $G$  that correspond to the edges in  $F$ . Then a node  $a_e \in A$  corresponding to an edge  $e = uv$  is separated from  $r$  in  $H$  iff both  $u, v \in V_F$ ; in this case the node  $a_e$  becomes an isolated node in  $H - F$ . Thus the number of connected components in  $H - F$  is precisely equal to  $|E_G(V_F)| + 1$ . This correspondence proves both parts of the claim.  $\square$

Suppose we have an  $\alpha$  approximation for  $k$ -WAY HYPERGRAPH CUT. We will obtain an  $\alpha^2$ -approximation for DENSEST  $k$ -SUBGRAPH as follows. Let  $(G, \ell)$  be a given instance of DENSEST  $k$ -SUBGRAPH. First assume that we know the optimum solution value  $L$  for the given instance. We construct  $H$  the hypergraph as described and give  $H$  and  $k = L + 1$  to the  $\alpha$ -approximation algorithm for  $k$ -WAY HYPERGRAPH CUT. By Claim 2.1 there is an optimum solution to the  $k$ -WAY HYPERGRAPH CUT instance on  $H$  of value  $\ell$ . Thus, the approximation algorithm will output a set  $F \subseteq \mathcal{F}$  such that (i)  $|F| \leq \alpha \cdot \ell$  and (ii)  $H - F$  has at least  $L + 1$  connected components. By the second part of the claim we can obtain a set  $S' \subseteq V$  such that  $|S'| \leq \alpha \cdot \ell$  and  $|E_G(S')| \geq L$ . A random subset  $S$  of  $S'$  where  $|S| = \ell$  induces, in expectation, at least  $L/\alpha^2$  edges. One can derandomize this step. Thus we can obtain a set  $S \subseteq V$  such that  $|S| = \ell$  and  $|E_G(S)| \geq L/\alpha^2$ . Since  $L$  is the optimum value for the given instance of DENSEST  $k$ -SUBGRAPH, we obtain the desired  $\alpha^2$ -approximation. We can remove the assumption of the knowledge of  $L$  by trying all possible values of  $L$  from 0 to  $|E(G)|$ . This finishes the proof of Theorem 1.1.

### 3 Open problems

The main open question is to obtain a hardness of approximation for  $k$ -WAY HYPERGRAPH CUT under the  $P \neq NP$  assumption. At this point we only have APX-Hardness coming from  $k$ -CUT; we should note that APX-Hardness for  $k$ -CUT has been claimed by Papadimitriou (see [8]) but as far as we know no published proof has appeared in the literature.  $k$ -WAY SUB-MP appears to be much more general than  $k$ -WAY HYPERGRAPH CUT so it may be easier to first establish hardness for  $k$ -WAY SUB-MP. In fact it may be feasible to prove strong unconditional lower bounds for  $k$ -WAY SUB-MP in the oracle model via the techniques from [9, 4].

When  $k$  is a fixed constant  $k$ -CUT can be solved in polynomial time [5].  $k$ -WAY HYPERGRAPH CUT is known to be solvable in polynomial time for  $k \leq 3$  [10] but its status is open for any fixed  $k \geq 4$ . In fact we also do not know whether  $k$ -WAY SYM-SUB-MP or  $k$ -WAY SUB-MP are NP-Hard for any fixed  $k > 2$ .

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